

PTO-1390 (Rev. 12-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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81

		ANSMITTAL LETTER T	ATTORNEY'S DOCKET NUMBER 1512.2.147						
		DESIGNATED/ELECTED CONCERNING A FILING	U.S. APPLICATION NO (If known, see 37 CFR 1.5) 10/527,969						
INTE	RNATI	ONAL APPLICATION NO. PCT/GB03/003770	INTERNATIONAL FILING DATE August 29, 2003	PRIORITY DATE CLAIMED September 3, 2002					
TITL	TITLE OF INVENTION DART-OPERATED BIG BORE BY-PASS VALVE								
APP	ICANT	(S) FOR DO/EO/US	Paul Bernard Lee						
Αp	olican	t herewith submits to the United Sta		6) the following items and other information:					
1			herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.						
2	. 🖂		This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.						
3	. 🗆	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.							
4		The US has been elected (Article 31).							
5	. 🗆	A copy of the International Applic	ation as filed (35 U.S.C. 371(c)(2))						
		a. is attached hereto (requ	ired only if not communicated by the Interr	national Bureau).					
į		b. has been communicate	d by the International Bureau.						
		c. is not required, as the a	pplication was filed in the United States Re	eceiving Office (RO/US).					
ε		An English language translation of	of the International Application as filed (35	U.S.C. 371(c)(2)).					
		a. is attached hereto.							
		b. has been previously submitted under 35 U.S.C. 154(d)(4).							
7	. 🗆	Amendments to the claims of the	International Application under PCT Article	e 19 (35 U.S.C. 371(c)(3))					
		a. are attached hereto (rec	quired only if not communicated by the Inte	mational Bureau).					
		b. have been communicate	ed by the International Bureau.						
		c. have not been made; however, the time limit for making such amendments has NOT expired.							
		d. have not been made an	d will not be made.						
8		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).							
9	. 🛛	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).							
10	. 🗆	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).							
Ite	ms 11	I to 20 below concern document	(s) or information included:						
11	. 🗀	An Information Disclosure Statem	ent under 37 CFR 1.97 and 1.98.						
12	. 🗆 —	included.	ording. A separate cover sheet in complian	nce with 37 CFR 3.28 and 3.31 is					
13	. 🔲	A preliminary amendment.							
14	. 🗀	An Application Data Sheet under	37 CFR 1.76.						
15	. 🗀	A substitute specification.							
16	. 🗀	A power of attorney and/or chang	e of address letter.						
17	. 🗆	A computer-readable form of the 1.825.	sequence listing in accordance with PCT R	tule 13 <i>ter.</i> 2 and 37 CFR 1.821 –					
18	. 🔲	, <u>, , , , , , , , , , , , , , , , , , </u>	temational application under 25 U.S.C. 15	` ', '					
19	. 🔲	A second copy of the English lang	guage translation of the international applic	ation under 35 U.S.C. 154(d)(4).					
20	X	Other items or information: Cortif	icate of Mailing						

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO-1390 (Rev. 12-2004)

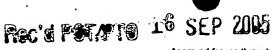
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U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/527,969			INTERNATIONAL APPLICATION NO. PCT/GB03/003770		ATTORNEY'S DOCKET NUMBER 1512.2.147			
The	The following fees have been submitted					CALCULATIONS	PTO USE ONLY	
1	21.			\$300	\$			
22.								
23.								
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority						\$100 \$400	\$	
All other situat					••••••	\$500		
			1, 22 and d2				\$,
sequence	Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 pages or fraction thereof.							
Total Sheets	Extra S	Sheets		of each additional 50 or frac (round up to a whole numb		RATE		
- 100 =	· ·	50 =				X \$250	\$	
earliest claimed	priority of	date (37	CFR 1.492	or declaration later than 30 (e)).	mont	ns from the	\$130.00	
CLAIMS			BER FILED	NUMBER EXTRA		RATE		· · · · ·
Total Cla		↓	- 20 =			\$50	\$	
Independent MULTIPLE DE		1	- 3 =	(cable)		\$200 \$360	\$ \$	
WOLTH-LE DE	LINDLIA	I CLAIR		OTAL OF ABOVE CALCU			\$	
	Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.						\$65.00	
					SUBTO	OTAL =	\$65.00	
Processing fee of \$130 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).								
				TOTAL NATIO	NAL F	EE =	\$65.00	
Fee for recording accompanied by	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +							
TOTAL FEES ENCLOSED = \$65.00								
DTRAN1 00000125 10527969							Amount to be refunded:	
ATRAN1 00000		65.0	O OP				Amount to be charged:	
a. A check in the amount of \$ to cover the above fee is enclosed.								
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate of this sheet is enclosed.								
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-0763. A duplicate of this sheet is enclosed.								
d. Fees are to be charged to a credit card WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b) must be filed and granted to restore the application to pending status.								
SEND ALL CORRESPONDENCE TO: MADSON & METCALF 15 West South Temple, Suite 900 Salt Lake City, Utah 84101 United States of America Telephone: (801) 537-1700 Facsimile: (801) 537-1799 MADSON & METCALF 15 West South Temple, Suite 900 Signature Signature Evan R. Witt NAME								
						<u>32,512</u> REGISTR	ATION NUMBER	

09/22/2005 01 FC:2617



PTO-1390 (Rev. 12-2005) Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371** INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER 1512.2.147

PRIORITY DATE CLAIMED

U.S. APPLICATION NO (If known, see 37 CFR 1.5) 10/527,969

PCT/GB03/003770			August 29, 2003	September 3, 2002				
TITLE	TITLE OF INVENTION DART-OPERATED BIG BORE BY-PASS VALVE							
APPLI	CANT	(S) FOR DO/EO/US	Paul Bernard Lee	-				
A		harmith authorite to the United Ct		C) the fellowing them and other information				
	licant		·	S) the following items and other information				
1.								
2.								
3.	 This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 							
4.		The US has been elected (Article	31).					
5.		A copy of the International Applic	ation as filed (35 U.S.C. 371(c)(2))					
I		a. is attached hereto (requ	ired only if not communicated by the Inter	national Bureau).				
		b. has been communicated	d by the International Bureau.					
		c. is not required, as the a	pplication was filed in the United States R	eceiving Office (RO/US).				
6.		An English language translation of	f the International Application as filed (35	U.S.C. 371(c)(2)).				
		a. is attached hereto.						
		b. has been previously sub	omitted under 35 U.S.C. 154(d)(4).					
7.		Amendments to the claims of the	International Application under PCT Articl	e 19 (35 U.S.C. 371(c)(3))				
		a. are attached hereto (rec	uired only if not communicated by the Inte	ernational Bureau).				
		b. have been communicate	ed by the International Bureau.					
		c. have not been made; he	owever, the time limit for making such ame	endments has NOT expired.				
		d. have not been made an	d will not be made.					
8.		An English language translation of	f the amendments to the claims under PC	T Article 19 (35 U.S.C. 371 (c)(3)).				
9.	\boxtimes	An oath or declaration of the inve	ntor(s) (35 U.S.C. 371 (c)(4)).					
10.		An English language translation of Article 36 (35 U.S.C. 371 (c)(5)).	f the annexes of the International Prelimin	nary Examination Report under PCT				
Iten	ns 11	to 20 below concern document	(s) or information included:					
11.		An Information Disclosure Statem	ent under 37 CFR 1.97 and 1.98.					
12.		An assignment document for rexincluded.	ording. A separate cover sheet in complian	nce with 37 CFR 3.28 and 3.31 is				
13.		A preliminary amendment.						
14.		An Application Data Sheet under	37 CFR 1.76.	·				
15.		A substitute specification.						
16.		A power of attorney and/or chang	e of address letter.					
17.		A computer-readable form of the 1.825.	sequence listing in accordance with PCT I	Rule 13ter.2 and 37 CFR 1.821 –				
18.		A second copy of the published in	temational application under 25 U.S.C. 19	54(d)(4).				
19.		A second copy of the English lang	guage translation of the international appli	cation under 35 U.S.C. 154(d)(4).				
20.	\boxtimes	Other items or information: Certif	icate of Mailing					

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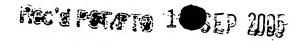
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Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (If known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/GB03/003770 1512.2.147 CALCULATIONS PTO USE ONLY The following fees have been submitted \$ 21.
Basic national fee\$300 22.

Examination fee If international preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)\$100 \$ All other situations\$200 23.

Search Fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority......\$100 International Search Report prepared and provided to the Office......\$400 s TOTAL OF 21, 22 and d23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 pages or fraction thereof. Number of each additional 50 or fraction **Total Sheets** Extra Sheets RATE thereof (round up to a whole number) / 50 = X \$250 - 100 = Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the \$130.00 earliest claimed priority date (37 CFR 1.492(e)). NUMBER FILED **NUMBER EXTRA** RATE CLAIMS **Total Claims** - 20 = x \$50 \$ \$ - 3 = x \$200 Independent Claims x \$360 \$ MULTIPLE DEPENDENT CLAIM(S) (if applicable) TOTAL OF ABOVE CALCULATIONS \$ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are \$65.00 reduced by 1/2. SUBTOTAL \$65.00 Processing fee of \$130 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). \$65.00 **TOTAL NATIONAL FEE** Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property TOTAL FEES ENCLOSED = \$65.00 Amount to be refunded: Amount to be charged: to cover the above fee is enclosed. A check in the amount of \$ _ Please charge my Deposit Account No. _ ____ in the amount of \$____ __ to cover the above fees. A duplicate of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-0763</u>. A duplicate of this sheet is enclosed. Fees are to be charged to a credit card WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: MADSON & METCALF 15 West South Temple, Suite 900 Salt Lake City, Utah 84101 SIGNATURE United States of America Telephone: (801) 537-1700 Evan R. Witt Facsimile: (801) 537-1799 NAME **REGISTRATION NUMBER**



IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371**

Appl. No.

Applicant

Paul Bernard Lee

March 15, 2005

10/527,969

Title

DART-OPERATED BIG BORE BY-PASS VALVE

Filed

(not yet assigned)

TC/A.U. Examiner

(not yet assigned)

Docket No.

1512.2.147

Customer No.

21552

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label No.: EO 912 734 737 US

Date of Deposit: September 16, 2005

Dear Sir:

I hereby certify that the following items are being deposited with the United States Postal Service as "Express Mail Post Office Addressee" service under 37 CFR 1.10 in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, Alexandria, VA 22313-1450, on September 16, 2005:

- 1. Patent Declaration;
- Credit card form for the amount of \$65.00; 2.
- Copy of Notification of Missing Requirements; and 3.
- Transmittal Letter. 4.

Respectfully submitted,

Évan R. Witt Reg. No. 32,512

Attorney for Applicant

Date: September 16, 2005

MADSON & METCALF Gateway Tower West 15 West South Temple, Suite 900 Salt Lake City, Utah 84101 Telephone: 801/537-1700



United States Patent and Trademark Office

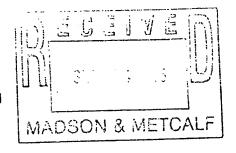
UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEFARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/527,969

Paul Bernard Lee

1512.2.147

21552 **MADSON & METCALF GATEWAY TOWER WEST** SUITE 900 15 WEST SOUTH TEMPLE SALT LAKE CITY, UT 84101



INTERNATIONAL APPLICATION NO. PCT/GB03/03770 I.A. FILING DATE PRIORITY DATE 08/29/2003 09/03/2002

CONFIRMATION NO. 5855 371 FORMALITIES LETTER OC000000016990002*

Date Mailed: 09/12/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

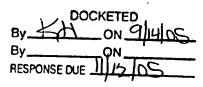
The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 03/15/2005
- Copy of the International Search Report filed on 03/15/2005
- Preliminary Amendments filed on 03/15/2005
- Information Disclosure Statements filed on 03/15/2005
- Small Entity Statement filed on 03/15/2005
- U.S. Basic National Fees filed on 03/15/2005
- Priority Documents filed on 03/15/2005
- Specification filed on 03/15/2005
- Claims filed on 03/15/2005
- Abstracts filed on 03/15/2005
- Drawings filed on 03/15/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.



SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

• \$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/527,969	PCT/GB03/03770	1512.2.147

FORM PCT/DO/EO/905 (371 Formalities Notice)